

FILED IN THE  
U.S. DISTRICT COURT  
EASTERN DISTRICT OF WASHINGTON

Aug 07, 2020

SEAN F. MCAVOY, CLERK

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF WASHINGTON

RANDOLPH S.,

Plaintiff,

v.

ANDREW M. SAUL, Commissioner  
of the Social Security Administration,

Defendant.

NO: 1:19-CV-03196-FVS

ORDER GRANTING DEFENDANT'S  
MOTION FOR SUMMARY  
JUDGMENT

BEFORE THE COURT are the parties' cross-motions for summary judgment. ECF Nos. 17, 19. This matter was submitted for consideration without oral argument. Plaintiff is represented by attorney Karl E. Osterhout, appearing *pro hoc vice*. Defendant is represented by Special Assistant United States Attorney Sarah Moum. The Court, having reviewed the administrative record and the parties' briefing, is fully informed. For the reasons discussed below, Plaintiff's Motion, ECF No. 17, is denied and Defendant's Motion, ECF No. 19, is granted.

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## JURISDICTION

Plaintiff Randolph S.<sup>1</sup> filed applications for Disability Insurance Benefits (DIB) and Supplemental Security Income (SSI) on February 3, 2016, Tr. 50-51, alleging disability since May 15, 2015, Tr. 164, 166, due to rheumatoid arthritis and a right knee impairment, Tr. 201. Benefits were denied initially, Tr. 90-93, and upon reconsideration, Tr. 98-110. A hearing before Administrative Law Judge Vadim Mozyrsky (“ALJ”) was conducted on June 22, 2018. Tr. 31-49. Plaintiff was represented by counsel and testified at the hearing. *Id.* The ALJ also took the testimony of vocational expert Thomas Polsin. *Id.* The ALJ denied benefits on August 23, 2018. Tr. 15-23. The Appeals Council denied Plaintiff’s request for review on June 27, 2019. Tr. 1-5. The matter is now before this court pursuant to 42 U.S.C. §§ 405(g); 1383(c)(3). ECF No. 1.

## BACKGROUND

The facts of the case are set forth in the administrative hearing and transcripts, the ALJ’s decision, and the briefs of Plaintiff and the Commissioner. Only the most pertinent facts are summarized here.

Plaintiff was 58 years old at the alleged onset date. Tr. 164. He completed

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<sup>1</sup>In the interest of protecting Plaintiff’s privacy, the Court will use Plaintiff’s first name and last initial, and, subsequently, Plaintiff’s first name only, throughout this decision.

1 one year of college in 2005. Tr. 202, 310. Plaintiff's work history includes the job  
2 of logger, which he also identifies as a loader operator and timber faller. Tr. 202,  
3 255. At application, he stated that he stopped working on November 1, 2012,  
4 because he "was having problems with the employer and just quit." Tr. 201.

### 5 STANDARD OF REVIEW

6 A district court's review of a final decision of the Commissioner of Social  
7 Security is governed by 42 U.S.C. § 405(g). The scope of review under § 405(g) is  
8 limited; the Commissioner's decision will be disturbed "only if it is not supported  
9 by substantial evidence or is based on legal error." *Hill v. Astrue*, 698 F.3d 1153,  
10 1158 (9th Cir. 2012). "Substantial evidence" means "relevant evidence that a  
11 reasonable mind might accept as adequate to support a conclusion." *Id.* at 1159  
12 (quotation and citation omitted). Stated differently, substantial evidence equates to  
13 "more than a mere scintilla[,] but less than a preponderance." *Id.* (quotation and  
14 citation omitted). In determining whether the standard has been satisfied, a  
15 reviewing court must consider the entire record as a whole rather than searching  
16 for supporting evidence in isolation. *Id.*

17 In reviewing a denial of benefits, a district court may not substitute its  
18 judgment for that of the Commissioner. If the evidence in the record "is  
19 susceptible to more than one rational interpretation, [the court] must uphold the  
20 ALJ's findings if they are supported by inferences reasonably drawn from the  
21 record." *Molina v. Astrue*, 674 F.3d 1104, 1111 (9th Cir. 2012). Further, a district

1 court “may not reverse an ALJ’s decision on account of an error that is harmless.”  
2 *Id.* An error is harmless “where it is inconsequential to the [ALJ’s] ultimate  
3 nondisability determination.” *Id.* at 1115 (quotation and citation omitted). The  
4 party appealing the ALJ’s decision generally bears the burden of establishing that  
5 it was harmed. *Shinseki v. Sanders*, 556 U.S. 396, 409-10 (2009).

### 6 **FIVE-STEP EVALUATION PROCESS**

7 A claimant must satisfy two conditions to be considered “disabled” within  
8 the meaning of the Social Security Act. First, the claimant must be “unable to  
9 engage in any substantial gainful activity by reason of any medically determinable  
10 physical or mental impairment which can be expected to result in death or which  
11 has lasted or can be expected to last for a continuous period of not less than twelve  
12 months.” 42 U.S.C. §§ 423(d)(1)(A), 1382c(a)(3)(A). Second, the claimant’s  
13 impairment must be “of such severity that he is not only unable to do his previous  
14 work[,] but cannot, considering his age, education, and work experience, engage in  
15 any other kind of substantial gainful work which exists in the national economy.”  
16 42 U.S.C. §§ 423(d)(2)(A), 1382c(a)(3)(B).

17 The Commissioner has established a five-step sequential analysis to  
18 determine whether a claimant satisfies the above criteria. See 20 C.F.R. §§  
19 404.1520(a)(4)(i)-(v), 416.920(a)(4)(i)-(v). At step one, the Commissioner  
20 considers the claimant’s work activity. 20 C.F.R. §§ 404.1520(a)(4)(i),  
21 416.920(a)(4)(i). If the claimant is engaged in “substantial gainful activity,” the

1 Commissioner must find that the claimant is not disabled. 20 C.F.R. §§  
2 404.1520(b), 416.920(b).

3 If the claimant is not engaged in substantial gainful activity, the analysis  
4 proceeds to step two. At this step, the Commissioner considers the severity of the  
5 claimant's impairment. 20 C.F.R. §§ 404.1520(a)(4)(ii), 416.920(a)(4)(ii). If the  
6 claimant suffers from "any impairment or combination of impairments which  
7 significantly limits [his] physical or mental ability to do basic work activities," the  
8 analysis proceeds to step three. 20 C.F.R. §§ 404.1520(c), 416.920(c). If the  
9 claimant's impairment does not satisfy this severity threshold, however, the  
10 Commissioner must find that the claimant is not disabled. 20 C.F.R. §§  
11 404.1520(c), 416.920(c).

12 At step three, the Commissioner compares the claimant's impairment to  
13 severe impairments recognized by the Commissioner to be so severe as to preclude  
14 a person from engaging in substantial gainful activity. 20 C.F.R. §§  
15 404.1520(a)(4)(iii), 416.920(a)(4)(iii). If the impairment is as severe or more  
16 severe than one of the enumerated impairments, the Commissioner must find the  
17 claimant disabled and award benefits. 20 C.F.R. §§ 404.1520(d), 416.920(d).

18 If the severity of the claimant's impairment does not meet or exceed the  
19 severity of the enumerated impairments, the Commissioner must pause to assess  
20 the claimant's "residual functional capacity." Residual functional capacity  
21 ("RFC"), defined generally as the claimant's ability to perform physical and

1 mental work activities on a sustained basis despite his or her limitations, 20 C.F.R.  
2 §§ 404.1545(a)(1), 416.945(a)(1), is relevant to both the fourth and fifth steps of  
3 the analysis.

4 At step four, the Commissioner considers whether, in view of the claimant's  
5 RFC, the claimant is capable of performing work that he or she has performed in  
6 the past (past relevant work). 20 C.F.R. §§ 404.1520(a)(4)(iv), 416.920(a)(4)(iv).  
7 If the claimant is capable of performing past relevant work, the Commissioner  
8 must find that the claimant is not disabled. 20 C.F.R. §§ 404.1520(f), 416.920(f).  
9 If the claimant is incapable of performing such work, the analysis proceeds to step  
10 five.

11 At step five, the Commissioner considers whether, in view of the claimant's  
12 RFC, the claimant is capable of performing other work in the national economy.  
13 20 C.F.R. §§ 404.1520(a)(4)(v), 416.920(a)(4)(v). In making this determination,  
14 the Commissioner must also consider vocational factors such as the claimant's age,  
15 education, and past work experience. 20 C.F.R. §§ 404.1520(a)(4)(v),  
16 416.920(a)(4)(v). If the claimant is capable of adjusting to other work, the  
17 Commissioner must find that the claimant is not disabled. 20 C.F.R. §§  
18 404.1520(g)(1), 416.920(g)(1). If the claimant is not capable of adjusting to other  
19 work, analysis concludes with a finding that the claimant is disabled and is  
20 therefore entitled to benefits. 20 C.F.R. §§ 404.1520(g)(1), 416.920(g)(1).

21 The claimant bears the burden of proof at steps one through four. *Tackett v.*

1 *Apfel*, 180 F.3d 1094, 1098 (9th Cir. 1999). If the analysis proceeds to step five,  
2 the burden shifts to the Commissioner to establish that (1) the claimant is capable  
3 of performing other work; and (2) such work “exists in significant numbers in the  
4 national economy.” 20 C.F.R. §§ 404.1560(c)(2), 416.960(c)(2); *Beltran v. Astrue*,  
5 700 F.3d 386, 389 (9th Cir. 2012).

### 6 THE ALJ’S FINDINGS

7 At step one, the ALJ found that Plaintiff has not engaged in substantial  
8 gainful activity since May 15, 2015, the alleged onset date. Tr. 17. At step two,  
9 the ALJ found that Plaintiff has the following severe impairments: degenerative  
10 joint disease of the right knee and rheumatoid arthritis. Tr. 17. At step three, the  
11 ALJ found that Plaintiff does not have an impairment or combination of  
12 impairments that meets or medically equals the severity of a listed impairment. Tr.  
13 18. The ALJ then found that Plaintiff has the RFC to perform light work as  
14 defined in 20 C.F.R. §§ 404.1567(b) and 416.967(b) except he has the following  
15 limitations:

16 [H]e could lift and carry 20 pounds occasionally and 10 pounds  
17 frequently; he could push and pull as much as lift and carry; he could  
18 sit for up to 6 hours in an 8-hour workday; he could stand and walk for  
19 2 hours total in an 8-hour workday; he was limited to frequently  
climbing ramps and stairs and occasionally climbing ladders and  
scaffolds; he was limited to frequently kneeling and crouching; he  
could occasionally crawl.

20 Tr. 18. At step four, the ALJ identified Plaintiff’s past relevant work as a log  
21 loader and found that he is able to perform this past relevant work as actually

1 performed and as generally performed. Tr. 23. On that basis, the ALJ concluded  
2 that Plaintiff has not been under a disability, as defined in the Social Security Act,  
3 from May 15, 2015, through the date of his decision. Tr. 23.

#### 4 ISSUES

5 Plaintiff seeks judicial review of the Commissioner's final decision denying  
6 him DIB under Title II of the Social Security Act and SSI benefits under Title XVI  
7 of the Social Security Act. ECF No. 17. Plaintiff raises the following issues for  
8 this Court's review:

- 9 1. Whether the ALJ erred by denying the claim at step four; and
- 10 2. Whether the ALJ properly evaluated Plaintiff's symptom statements.

#### 11 DISCUSSION

##### 12 1. Step Four

13 Plaintiff argues that the ALJ erred at step four. ECF No. 17 at 4-20. This  
14 argument is premised on the ALJ failing to accurately weigh the medical opinions  
15 in the record, which resulted in an alleged incomplete RFC and an alleged  
16 inaccurate step four determination. *Id.* Specifically, Plaintiff challenges the ALJ's  
17 treatment of the opinions of Daniel Sager, M.D., W. Daniel Davenport, M.D., and  
18 Guillermo Rubio, M.D. *Id.*

19 There are three types of physicians: "(1) those who treat the claimant  
20 (treating physicians); (2) those who examine but do not treat the claimant  
21 (examining physicians); and (3) those who neither examine nor treat the claimant



1 [but who review the claimant's file] (nonexamining [or reviewing] physicians).”  
2 *Holohan v. Massanari*, 246 F.3d 1195, 1201-02 (9th Cir. 2001) (citations omitted).  
3 Generally, a treating physician's opinion carries more weight than an examining  
4 physician's, and an examining physician's opinion carries more weight than a  
5 reviewing physician's. *Id.* If a treating or examining physician's opinion is  
6 uncontradicted, the ALJ may reject it only by offering “clear and convincing  
7 reasons that are supported by substantial evidence.” *Bayliss v. Barnhart*, 427 F.3d  
8 1211, 1216 (9th Cir. 2005). Conversely, “[i]f a treating or examining doctor's  
9 opinion is contradicted by another doctor's opinion, an ALJ may reject it by  
10 providing specific and legitimate reasons that are supported by substantial  
11 evidence.” *Id.* (citing *Lester v. Chater*, 81 F.3d 821, 830-31 (9th Cir. 1995)).

12 Here, the functional opinions of Dr. Sager and Dr. Davenport contradict  
13 each other. *See infra*. Therefore, the ALJ is only required to provide specific and  
14 legitimate reasons for rejecting their opinions.

15 **a. Daniel Sager, M.D.**

16 On July 12, 2016, Dr. Sager and Plaintiff completed a questionnaire  
17 together. Tr. 308 (“I asked Mr. Sprinkle to complete this form (blue ink) and I  
18 completed the rest (black ink)”). According to this form, Plaintiff was capable of  
19 sitting six or more hours a day and standing/walking two hours a day. Tr. 307.  
20 Plaintiff was required to alternate between sitting and standing every sixty minutes.  
21 *Id.* Plaintiff could occasionally lift/carry twenty pounds, and rarely lift/carry

1 twenty-five pounds. *Id.* Plaintiff's manipulative limitations including reaching in  
2 all directions, handling, fingering, and feeling were limited to occasional. *Id.*  
3 Plaintiff's pain or other symptoms were severe enough to occasionally interfere  
4 with his attention and concentration. Tr. 308. Plaintiff should avoid humid/wet  
5 environmental conditions. *Id.* Additionally, Plaintiff would require the  
6 accommodations of unscheduled breaks and walking breaks. *Id.*

7       The ALJ gave weight to Dr. Sager's sitting, standing/walking, and lifting  
8 limits, but the remaining limitations were given little weight for two reasons: (1)  
9 the degree of functional limitations he described was inconsistent with his own  
10 treatment notes from July 12, 2016; and (2) the opinion was contradicted by his July  
11 2017 opinion.

12       The ALJ's first reason for rejecting the majority of Dr. Sager's opinion, that  
13 some limitations were inconsistent with his treatment notes from July 12, 2016, is  
14 specific and legitimate. The Ninth Circuit has found that inconsistencies between  
15 the opinion and the treatment notes from the same day of the opinion meets the  
16 heightened standard of clear and convincing. *Bayliss*, 427 F.3d at 1216. Here, Dr.  
17 Sager's treatment note from July 12, 2016 states that Plaintiff was doing well on  
18 his three-drug rheumatoid arthritis regimen and presented with rheumatoid  
19 nodules. Tr. 596. The objective observations include nodules present on the  
20 elbows, subtle swelling in the peripheral joints which were nontender, full range of  
21 motion in all joints, normal muscle mass, and normal gait. Tr. 597. Therefore, the

1 ALJ's conclusion that "the degree of functional limitation he described is  
2 inconsistent with his own contemporaneous treatment notes," Tr. 22, is "supported  
3 by substantial evidence and was based on a permissible determination within the  
4 ALJ's province," *Bayliss*, 427 F.3d at 1216.

5 The ALJ's second reason for rejecting the majority of Dr. Sager's opinion,  
6 that some limitations were contradicted by the July 2017 opinion, is specific and  
7 legitimate. On July 7, 2017, Dr. Sager completed an Arthritis Residual Functional  
8 Capacity Questionnaire. Tr. 315-20. Dr. Sager listed Plaintiff's diagnosis as  
9 "Rheumatoid arthritis (RA) in remission but requiring rx ongoing to maintain this."  
10 Tr. 315. He further stated that Plaintiff's symptoms were "prior to rx of RA." *Id.*  
11 He further stated that Plaintiff's pain would never interfere with his attention and  
12 concentration. *Id.* He stated that he did not know how Plaintiff could tolerate  
13 work stress and that there were no current side effects of any medication. Tr. 316.  
14 He did not address any of the physical limitations on the form, stating they were  
15 not tested. Tr. 316-19. This opinion is in drastic contrast to the opinion only a  
16 year earlier, and supports the ALJ's rejection that the July 2016 opinion.

17 Plaintiff also argues that the ALJ erred by failing to address the factors set  
18 forth in 20 C.F.R. §§ 404.1527(c), 416.927(c). ECF No. 17 at 11-12. These  
19 factors include the treatment relationship, the supportability of the opinion, and the  
20 consistency of the opinion with the record. 20 C.F.R. §§ 404.1527(c), 416.927(c).  
21 Here, the ALJ addressed such factors. He stated that Plaintiff and Dr. Sager had a

1 treating relationship, he found that the opinion was not supported by the  
2 contemporaneous treatment notes, and he found the opinion not consistent with Dr.  
3 Sager's later opinion. Therefore, the ALJ did not err in his treatment of the July  
4 2016 opinion.

5 **b. W. Daniel Davenport, M.D.**

6 On July 27, 2016, Dr. Davenport completed a consultative examination of  
7 Plaintiff. Tr. 309-13. He reviewed Dr. Sager's treatment notes from January 21,  
8 2016 and March 1, 2016. Tr. 309. He completed a physical examination, with the  
9 only abnormality being on the seated straight leg raise testing listed as 75/90  
10 bilaterally. Tr. 311-12. He opined that Plaintiff's maximum standing and walking  
11 capacity was at least six hours and his maximum sitting capacity was at least six  
12 hours. Tr. 312. He stated that Plaintiff could be expected to lift fifty pounds  
13 occasionally and twenty-five pounds frequently. Tr. 313. He stated that Plaintiff  
14 "could be expected to do climbing, balancing, stooping, kneeling, crouching, and  
15 crawling three to four hours daily" and Plaintiff was "able to do reaching, handing,  
16 fingering, and feeling frequently." *Id.* He limited Plaintiff to occasional exposure  
17 to heights, heavy machinery, and extreme temperatures. *Id.* The ALJ gave the  
18 opinion little weight for five reasons: (1) it was based on a one-time exam without  
19 the opportunity to review more recent medical records; (2) the non-exertional  
20 restrictions were contradicted by Dr. Davenport's own normal examination  
21 findings; (3) the opinion appeared to be based heavily on Plaintiff's self-reports;

1 (4) the non-exertional limitations were inconsistent with Dr. Sager's treatment  
2 notes; and (5) the non-exertional limitations were inconsistent with Plaintiff's  
3 reported level of activity. Tr. 22.

4 The ALJ's first reason for rejecting Dr. Davenport's opined limitations, that  
5 they were based on a one-time exam, is not specific and legitimate. The status of  
6 an examining or treating provider is a factor that an ALJ is to consider when  
7 weighing an opinion, 20 C.F.R. §§ 404.1527(c), 416.927(c), and it dictates the  
8 weight assigned to the opinion, *Holohan*, 246 F.3d at 1201-02, but it does not rise  
9 to the level of a specific and legitimate reason for rejecting an opinion. However,  
10 the ALJ provided multiple other reasons for rejecting Dr. Davenport's limitations.  
11 *See infra*. Therefore, any error from this reason is harmless. *See Tommasetti v.*  
12 *Astrue*, 533 F.3d 1035, 1038 (9th Cir. 2008) (An error is harmless when "it is clear  
13 from the record that the . . . error was inconsequential to the ultimate nondisability  
14 determination.").

15 The ALJ's second reason for rejecting Dr. Davenport's opined limitations,  
16 that the non-exertional limitations were inconsistent with his observations, is  
17 specific and legitimate. As addressed above, inconsistencies between the opinion  
18 and the treatment notes from the same day of the opinion meets the heightened  
19 standard of clear and convincing. *Bayliss*, 427 F.3d at 1216. Here, Dr.  
20 Davenport's examination was essentially normal. Tr. 311-12. Additionally, he  
21 stated that Plaintiff's rheumatoid arthritis was "well-controlled with medications."

1 Tr. 312. Therefore, the ALJ's conclusion that the examination report did not  
2 support the opined non-exertional limits is supported by substantial evidence.

3 The ALJ's third reason for rejecting Dr. Davenport's opined limitations, that  
4 that he relied heavily on Plaintiff's self-reports, is specific and legitimate. A  
5 doctor's opinion may be discounted if it relies on a claimant's unreliable self-  
6 report. *Bayliss*, 427 F.3d at 1217; *Tommasetti*, 533 F.3d at 1041. But the ALJ  
7 must provide the basis for his conclusion that the opinion was based on a  
8 claimant's self-reports. *Ghanim v. Colvin*, 763 F.3d 1154, 1162 (9th Cir. 2014).  
9 Here, the ALJ specifically found that the opined non-exertional limits were  
10 inconsistent with Dr. Davenport's own observations. Tr. 22. He then went on to  
11 conclude that "[a]s such, it appears that Dr. Davenport's opinion relied heavily on  
12 the claimant's subjective complaints." *Id.* Therefore, the ALJ provided a  
13 sufficient basis to support his conclusion that the opinion was heavily based on  
14 Plaintiff's self-reports. The ALJ found Plaintiff's symptom statements to be  
15 unsupported in the record, Tr. 19, and Plaintiff failed to properly challenge the  
16 ALJ's finding in his briefing, *see infra*. Therefore, the ALJ's determination that  
17 Dr. Davenport's opinion relied heavily on Plaintiff's self-reports meets the specific  
18 and legitimate standard.

19 The ALJ's fourth reason for rejecting Dr. Davenport's limitations, that the  
20 non-exertional limitations were inconsistent with Dr. Sager's treatment notes, is  
21 specific and legitimate. In April of 2016, Plaintiff had a nodule on his left arm,

1 mild swelling in his wrists and fingers, he had some pain with range of motion, and  
2 tender wrists. Tr. 545. Dr. Sager started Plaintiff on Methotrexate. Tr. 546. By  
3 July of 2016, Plaintiff's rheumatoid arthritis is described as "stable on 3 drug  
4 regimen." Tr. 594. He had some nodules on his elbow and subtle swelling in his  
5 peripheral joints, which were nontender. Tr. 597. In November of 2016,  
6 Plaintiff's rheumatoid arthritis was stable, Tr. 670, and he had a tiny nodule on his  
7 left forearm, but otherwise no swelling, effusion, restricted joint range of motion,  
8 or tenderness, Tr. 673. In March of 2017, his rheumatoid arthritis was still  
9 considered stable, Tr. 712, and he had no nodules, tenderness, swelling, or  
10 deformity of his joints. Tr. 713-14. In April of 2018, Plaintiff reported he had  
11 been incarcerated and off methotrexate for several months. Tr. 743. He had  
12 tenderness and swelling in his wrists, fingers, elbows, and ankles. Tr. 744. He had  
13 a painful range of motion in hands, the left ankle, and shoulders. *Id.* At the  
14 hearing, Plaintiff testified that he was back on Methotrexate and that his doctor  
15 told him to get back to where he was would take at least 27 weeks. Tr. 35. Based  
16 on Dr. Sager's records, the ALJ concluded that Plaintiff's rheumatoid arthritis was  
17 in remission with medication. Tr. 22. The Ninth Circuit has held that impairments  
18 controlled by medication are not disabling. *Warre v. Comm'r of Soc. Sec. Admin.*,  
19 439 F.3d 1001, 1006 (9th Cir. 2006). Additionally, the finding that Plaintiff's  
20 impairment is controlled with medication does not support the non-exertional  
21 functional limitations opined by Dr. Davenport.

1       The ALJ's fifth reason for rejecting Dr. Davenport's opined limitations, that  
2       the non-exertional limitations were inconsistent with Plaintiff's reported activities,  
3       is specific and legitimate. A claimant's testimony about his daily activities may be  
4       seen as inconsistent with the presence of a disabling condition. *Curry v. Sullivan*,  
5       925 F.2d 1127, 1130 (9th Cir. 1990). Here, Plaintiff reported to Dr. Davenport that  
6       he was the care provider for his father. Tr. 310. This is repeated throughout the  
7       record. Tr. 208 (Plaintiff's father reports that he does household chores and cares  
8       for him); Tr. 216 (Plaintiff reports caring for his ninety-nine year old father).  
9       Specifically, Plaintiff reported that his father suffered from broken hips and  
10      required around the clock care. Tr. 310. The ALJ's conclusion that such a  
11      demanding task was inconsistent with Dr. Davenport's opined non-exertional  
12      limitations is supported by substantial evidence and meets the specific and  
13      legitimate standard.

14      **c.     Guillermo Rubio, M.D.**

15      At the initial application, Dr. Rubio reviewed the evidence available in the  
16      record at that time, Tr. 53-54, and opined that Plaintiff could occasionally lift/carry  
17      fifty pounds, frequently lift/carry twenty-five pounds, stand/walk for six out of  
18      eight hours, and sit about six out of eight hours. Tr. 56. He limited Plaintiff to  
19      frequently climbing ramps/stairs, kneeling, and crouching and occasionally  
20      climbing ladders, ropes, and scaffolds and crawling. *Id.* He limited Plaintiff to  
21      frequent handling and fingering. Tr. 56-57. The ALJ gave little weight to the



1 manipulative limitations “because more recent evidence shows that the claimant’s  
2 rheumatoid arthritis was in remission.” Tr. 21. The opinion of a non-examining  
3 physician can be rejected by reference to specific evidence in the medical record.  
4 *Sousa v. Callahan*, 143 F.3d 1240, 1244 (9th Cir. 1998). Here, the ALJ’s reliance  
5 on Dr. Sager’s finding that Plaintiff’s arthritis was in remission is sufficient to  
6 meet this standard. Therefore, the ALJ’s rejection of Dr. Rubio’s manipulative  
7 limitations is without error.

8 In conclusion, the ALJ did not err in his treatment of the medical opinions in  
9 the record. Therefore, the ALJ’s RFC determination properly addressed his  
10 limitations, and the Court will not disturb his step four determination.

## 11 **2. Plaintiff’s Symptom Claims**

12 Plaintiff challenges the ALJ’s rejection of his symptom statements by  
13 arguing that he should have addressed Plaintiff’s strong work history as evidence  
14 of his credibility. ECF No. 17 at 22.

15 An ALJ engages in a two-step analysis when evaluating a claimant’s  
16 testimony regarding subjective pain or symptoms. “First, the ALJ must determine  
17 whether there is objective medical evidence of an underlying impairment which  
18 could reasonably be expected to produce the pain or other symptoms alleged.”  
19 *Molina*, 674 F.3d at 1112 (internal quotation marks omitted). “The claimant is not  
20 required to show that his impairment could reasonably be expected to cause the  
21 severity of the symptom he has alleged; he need only show that it could reasonably

1 have caused some degree of the symptom.” *Vasquez v. Astrue*, 572 F.3d 586, 591  
2 (9th Cir. 2009) (internal quotation marks omitted).

3 Second, “[i]f the claimant meets the first test and there is no evidence of  
4 malingering, the ALJ can only reject the claimant’s testimony about the severity of  
5 the symptoms if [the ALJ] gives ‘specific, clear and convincing reasons’ for the  
6 rejection.” *Ghanim*, 763 F.3d at 1163 (internal citations and quotations omitted).  
7 “General findings are insufficient; rather, the ALJ must identify what testimony is  
8 not credible and what evidence undermines the claimant’s complaints.” *Id.*  
9 (quoting *Lester*, 81 F.3d at 834); *Thomas v. Barnhart*, 278 F.3d 947, 958 (9th Cir.  
10 2002) (“[T]he ALJ must make a credibility determination with findings sufficiently  
11 specific to permit the court to conclude that the ALJ did not arbitrarily discredit  
12 claimant’s testimony.”). “The clear and convincing standard is the most  
13 demanding required in Social Security cases.” *Garrison v. Colvin*, 759 F.3d 995,  
14 1015 (9th Cir. 2014) (quoting *Moore v. Comm’r of Soc. Sec. Admin.*, 278 F.3d 920,  
15 924 (9th Cir. 2002)).

16 Here, the ALJ found Plaintiff’s medically determinable impairments could  
17 reasonably be expected to cause some of the alleged symptoms; however,  
18 Plaintiff’s “statements concerning the intensity, persistence and limiting effects of  
19 these symptoms are not entirely consistent with the medical evidence and other  
20 evidence in the record for the reasons explained in this decision.” Tr. 19.

21 The ALJ provide three reasons to support his determination: (1) his

1 statements are inconsistent with his daily activities; (2) the objective evidence in  
2 the file does not corroborate Plaintiff's allegations; and (3) the evidence suggests  
3 that Plaintiff's inability to work was due to factors other than medical impairments.  
4 Tr. 19-20.

5 Plaintiff advocates that the ALJ should have considered his positive work  
6 history as evidence of credibility, but he failed to challenge the reasons the ALJ did  
7 provide for rejecting his symptom statements. ECF No. 17 at 21-23. By failing to  
8 argue that the ALJ did not meet the specific, clear and convincing standard,  
9 Plaintiff has essentially waived any such argument. *See Carmickle v. Comm'r.,*  
10 *Soc. Sec.*, 533 F.3d 1155, 1161 n.2 (9th Cir. 2008). The Ninth Circuit explained  
11 the necessity for providing specific arguments:

12  
13 The art of advocacy is not one of mystery. Our adversarial system relies  
14 on the advocates to inform the discussion and raise the issues to the  
15 court. Particularly on appeal, we have held firm against considering  
16 arguments that are not briefed. But the term "brief" in the appellate  
17 context does not mean opaque nor is it an exercise in issue spotting.  
18 However much we may importune lawyers to be brief and to get to the  
19 point, we have never suggested that they skip the substance of their  
20 argument in order to do so. It is no accident that the Federal Rules of  
21 Appellate Procedure require the opening brief to contain the  
"appellant's contentions and the reasons for them, with citations to the  
authorities and parts of the record on which the appellant relies." Fed.  
R. App. P. 28(a)(9)(A). We require contentions to be accompanied by  
reasons.

1 *Independent Towers of Wash. v. Wash.*, 350 F.3d 925, 929 (9th Cir. 2003).<sup>2</sup>

2 Moreover, the Ninth Circuit has repeatedly admonished that the court will not  
3 “manufacture arguments for an appellant” and therefore will not consider claims  
4 that were not actually argued in appellant’s opening brief. *Greenwood v. Fed.*  
5 *Aviation Admin.*, 28 F.3d 971, 977 (9th Cir. 1994). Because Plaintiff failed to  
6 challenge the issue in his brief, the Court declines to consider the issue of  
7 Plaintiff’s symptom statements any further.

## 8 **CONCLUSION**

9 A reviewing court should not substitute its assessment of the evidence for  
10 the ALJ’s. *Tackett*, 180 F.3d at 1098. To the contrary, a reviewing court must  
11 defer to an ALJ’s assessment so long as it is supported by substantial evidence. 42  
12 U.S.C. § 405(g). As discussed in detail above, the ALJ did not err in his treatment  
13 of the medical opinions. Therefore, he did not err in forming his residual  
14 functional capacity or in denying the claim at step four. Plaintiff has failed to  
15 properly challenge the ALJ’s treatment of his symptom statements. After review,  
16 the Court finds the ALJ’s decision is supported by substantial evidence and free of  
17 harmful legal error.

## 18 **ACCORDINGLY, IT IS HEREBY ORDERED:**

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<sup>2</sup>Under the current version of the Federal Rules of Appellate Procedure, the  
21 appropriate citation would be to FED. R. APP. P. 28(a)(8)(A).

1. Plaintiff's Motion for Summary Judgment, ECF No. 17, is **DENIED**.

2. Defendant's Motion for Summary Judgment, ECF No. 19, is **GRANTED**.

The District Court Executive is hereby directed to enter this Order and provide copies to counsel, enter judgment in favor of the Defendant, and **CLOSE** the file.

**DATED** August 7, 2020.

*s/Fred Van Sickle*  


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 Fred Van Sickle  
 Senior United States District Judge